

DETERMINISTIC AND PROBABILISTIC ALGORITHMS FOR MAXIMUM BIPARTITE MATCHING VIA FAST MATRIX MULTIPLICATION *

Oscar H. IBARRA and Shlomo MORAN

Department of Computer Science, University of Minnesota, Minneapolis, MN 55455, U.S.A.

Received 22 January 1981; revised version received 15 June 1981

Probabilistic algorithm, maximum bipartite matching, fast matrix multiplication

1. Introduction

Let $G = (S, T, E)$ be a bipartite graph, where $S \cup T$ is the set of nodes ($S \cap T = \emptyset$) and E is the set of edges, $E \subseteq S \times T$. Let $S = \{u_1, \dots, u_s\}$, $T = \{v_1, \dots, v_t\}$ ($s \leq t$), and $|E| = e$. An (S, T) matching is a subset M of E such that no two edges in M have a common endpoint.

A maximum matching is a matching of maximum cardinality. The set of nodes which take part in such a maximum matching is denoted by $\text{Nodes}(G)$ and the cardinality of the matching is denoted by $\text{Card}(G)$. Note that $\text{Nodes}(G)$ is not unique, but $\text{Card}(G)$ is unique. There is an $O(e\sqrt{s})$ algorithm to find a maximum matching [3]. When $e = O(st)$ (i.e., the graph is dense), $O(e\sqrt{s}) = O(s^{1.5}t)$.

Let $A = (a_{ij})$ be an $s \times t$ matrix over a given ring. A matching of cardinality r in A is a set of r nonzero entries of A , with at most one entry chosen from each row and column. The cardinality of a maximal matching in A is denoted by $\text{Card}(A)$.

Let F be any field, and let $G = (S, T, E)$ be given. With each edge (u_i, v_j) in E we associate a variable x_{ij} , and with the graph G we associate a matrix $A_G = (a_{ij})$ defined by:

$$a_{ij} = \begin{cases} x_{ij} & \text{if } (u_i, v_j) \text{ in } E, \\ 0 & \text{otherwise.} \end{cases}$$

* This research was supported in part by NSF Grant MCS78-01736.

Note that there is an obvious one-to-one correspondence between the matchings in G and A_G . In particular, $\text{Card}(G) = \text{Card}(A_G)$.

Let $F[x_{i_1j_1}, \dots, x_{i_ej_e}]$ denote the ring of polynomials with e variables over F . Then one can easily verify the following claim.

Claim 1. A_G has a matching of cardinality r if and only if the rank of A_G over $F[x_{i_1j_1}, \dots, x_{i_ej_e}]$ is greater or equal to r . The rows and columns of a maximal nonsingular square submatrix of A_G correspond to the nodes of a maximal matching in G .

In this paper, we present two algorithms for finding a maximal nonsingular square submatrix of A_G (or, equivalently, $\text{Card}(G)$ and $\text{Nodes}(G)$) in $O(s^{\beta-1}t)$ arithmetic operations, where $O(n^\beta)$ is the complexity of matrix multiplication. The best bound on β currently claimed is 2.49+, and recent developments may reduce this bound further [5]. Our algorithms use the following result in [4]:

Theorem 1. There is an $O(s^{\beta-1}t)$ algorithm to find a maximal nonsingular square submatrix of any $s \times t$ matrix ($s \leq t$).

Note that a direct application of Theorem 1 to the matrix A_G yields an algorithm whose complexity is larger than $O(s^{\beta-1}t)$, since the elements of A_G are variables and not numbers.

In Section 2, we present a deterministic algorithm which replaces each x_{ij} in A_G by an integer, thus ob-

taining an integer matrix A'_G satisfying $\text{rank}(A'_G) = \text{rank}(A_G)$. The integers in A'_G are, however, very large, and hence the bitwise complexity of the algorithm (using exact arithmetic [1]) is large. In Section 3, we develop a probabilistic algorithm (for the same task) which generates random numbers for A'_G . The numbers are relatively small, and $\text{rank}(A'_G) = \text{rank}(A_G)$ with probability $> \frac{1}{2}$. Both algorithms use Theorem 1 to find a maximal nonsingular square submatrix of A'_G . The dimension and the set of rows and columns making up the maximal nonsingular square submatrix correspond to $\text{Card}(G)$ and $\text{Nodes}(G)$, respectively. We note that our algorithms, like other algorithms which solve graph problems by fast matrix multiplication (e.g. the shortest path problem [7,8]), do not provide the full constructive solution to the maximal matching problem since they do not construct the arcs which take part in a maximal matching. (In [7,8], $O(n^6)$ algorithms to find the set of all minimum distances of an n -node graph, with integer distances and without negative-cost cycles, are given. The algorithms, which also use exact arithmetic on very small numbers do not find the set of shortest paths.)

2. The deterministic algorithm

Definition. A sequence (c_1, \dots, c_e) of integers is a 2-sequence if it satisfies the following:

- (a) $c_e \geq 2$,
- (b) $c_{i-1} \geq c_i^2$ ($i = 2, \dots, e$).

A 2-matrix is a matrix whose nonzero elements, when ordered row by row, form a 2-sequence. Note that every submatrix of a 2-matrix is a 2-matrix.

Example. The following is a 2-matrix:

$$\begin{bmatrix} 0 & 18 \\ 4 & 2 \end{bmatrix}.$$

Lemma. Let A be an $r \times r$ 2-matrix such that $\text{Card}(A) = r$. Then $\text{rank}(A) = r$ (i.e., A is nonsingular) *.

Proof. The proof is an induction on r , and for each r , an induction on $k =$ number of nonzero elements in A . Clearly, for each r , $k \geq r$, and if $k = r$ then A is nonsingular (since, in this case, $|\det(A)| = \prod_{i=1}^r a_i$, where a_1, \dots, a_r are the nonzero elements of A).

* A is nonsingular if and only if $\det(A) \neq 0$.

Assume that the lemma holds for r and k ($k < r^2$). We shall prove that it also holds for r and $k + 1$. To simplify the discussion we shall assume that $b = a_{11}$ is the largest element in A . Denote by A_{ij} the minor obtained by deleting row i and column j from A . We consider 3 cases:

Case 1. $\det(A_{11}) = 0$. In this case, by the induction hypothesis for $r - 1$, $\text{Card}(A_{11}) < r - 1$, which means that a_{11} does not belong to any matching of cardinality r in A . Hence, by substituting $a_{11} = 0$, a matrix A' is obtained and $\text{Card}(A') = \text{Card}(A) = r$, $\det(A') = \det(A)$. Since A' has only k nonzero entries, the lemma holds for A' by the induction on k , and hence it also holds for A .

Case 2. a_{11} is the only nonzero element in row A_1 . In this case, $|\det(A)| = a_{11} |\det(A_{11})|$, and $\text{Card}(A_{11}) = r - 1$, which implies (by induction on r) that $\det(A_{11}) \neq 0$. Hence $\det(A) \neq 0$.

Case 3. $\det(A_{11}) \neq 0$ and there are at least 2 nonzero elements in A_1 . In this case (note that $b = a_{11}$):

$$|\det(A)| \geq b |\det(A_{11})| - \sum_{j=2}^r a_{1j} |\det(A_{1j})|.$$

Since $|\det(A_{11})| \geq 1$, $\det(A) \neq 0$ if $b > \sum_{j=2}^r a_{1j} \times |\det(A_{1j})|$.

Let $\bar{A} = (\bar{a}_{ij})$ be the matrix obtained by substituting $a_{11} = 0$ in A . Let $\ell_i = \bar{a}_{i1} + \dots + \bar{a}_{ir}$, and let p_i be the product of the nonzero elements in row i of \bar{A} . Since all the nonzero \bar{a}_{ij} 's are at least 2, we have that $\ell_i \leq p_i$. Also, since all the \bar{a}_{ij} 's are nonnegative

$$\sum_{j=2}^r a_{1j} |\det(A_{1j})| \leq \prod_{i=1}^r \ell_i.$$

Combining the inequalities, we get

$$\begin{aligned} \sum_{j=2}^r a_{1j} |\det(A_{1j})| &\leq \prod_{i=1}^r \ell_i \leq \prod_{i=1}^r p_i \\ &\leq \prod_{\bar{a}_{ij} \neq 0} \bar{a}_{ij} < b^{1/2+1/4+\dots} = b. \end{aligned}$$

Corollary 1. Let A be an $m \times n$ 2-matrix. Then $\text{Card}(A) = \text{rank}(A)$. Moreover, if A' is any $r \times r$ submatrix of A with $\text{Card}(A') = r$, then $\text{rank}(A') = r$.

Proof. Since every submatrix of a 2-matrix is a 2-matrix, Lemma 1 applies to any submatrix A' satisfying the hypothesis above.

Let A_G be the matrix defined in the previous section. By the discussion following Theorem 1 and by Corollary 1, if the matrix A'_G obtained by replacing the variables of A_G by integers is a 2-matrix, then $\text{Card}(G)$ and $\text{Nodes}(G)$ can be found in $O(s^{\beta-1}t)$ arithmetic operations. Thus, we have:

Theorem 2. There is an algorithm which finds, for each bipartite graph $G(S, T, E)$, $\text{Card}(G)$ and $\text{Nodes}(G)$ in $O(s^{\beta-1}t)$ arithmetic operations.

Proof. Let x_1, \dots, x_e be the nonzero elements in A_G , ordered by rows. Compute the integers c_1, \dots, c_e by: $c_e = 2$, $c_{i-1} = c_i^2$ ($i = e, e-1, \dots, 2$), and let A'_G be the matrix obtained by replacing each x_i in A_G by c_i . The result follows from the discussion above, noting that A'_G is a 2-matrix.

3. The probabilistic algorithm

Definition. Let $p(x_1, \dots, x_e)$ be a polynomial with e variables. Then p is of *semi-degree 1* if it is of degree at most 1 in each of its variables.

Example. $x + xy$ is of semi-degree 1, while $x^2 + y$ is not.

Let $\bar{c} = (c_{i_1j_1}, \dots, c_{i_ej_e})$ be an e -tuple of constants from F . Then $A_G(\bar{c})$ is the matrix obtained from A_G by replacing $x_{i_kj_k}$ by $c_{i_kj_k}$ for $k = 1, 2, \dots, e$.

Claim 2. Let $\text{rank}(A_G) = r$. Then there is a nonzero polynomial $p(x_{i_1j_1}, \dots, x_{i_ej_e})$ of semi-degree 1 such that for $\bar{c} = (c_{i_1j_1}, \dots, c_{i_ej_e})$, if $p(\bar{c}) \neq 0$ then $\text{rank}(A_G(\bar{c})) = r$.

Proof. Let p be the sum of all the determinants of $r \times r$ submatrices of A_G .

Lemma 2. Let $p(x_1, \dots, x_e)$ be of semi-degree 1. Let C be a set of constants in F with $|C| = m$, and let $C^e = C \times \dots \times C$ (e times). Then $p(\bar{c}) \neq 0$ for at least $(m-1)^e$ elements in C^e .

Proof. The proof is an induction on e . For $e = 1$, the lemma follows trivially from the observation that a one-variable polynomial of degree 1 has 1 root.

Assume the lemma holds for $e \geq 1$, and consider a nonzero polynomial $p(x_1, \dots, x_e, x_{e+1})$ of semi-degree 1. Then there exists an $e+1$ tuple $(c_1, \dots, c_e, c_{e+1})$ such that $p(c_1, \dots, c_e, c_{e+1}) \neq 0$. Hence, the polynomial $p(x_1, \dots, x_e, c_{e+1}) = \hat{p}(x_1, \dots, x_e)$ is nonzero and is of semi-degree 1. Hence, by induction hypothesis, there are at least $(m-1)^e$ e -tuples (c_1, \dots, c_e) in C^e such that $\hat{p}(c_1, \dots, c_e) = p(c_1, \dots, c_e, c_{e+1}) \neq 0$. For each such tuple, the one-variable polynomial $\tilde{p}(x_{e+1}) = p(c_1, \dots, c_e, x_{e+1})$ is nonzero and of semi-degree 1. Hence, there are at least $m-1$ elements c_{e+1} in C such that $\tilde{p}(c_{e+1}) = p(c_1, \dots, c_e, c_{e+1}) \neq 0$. The lemma follows.

Note. It was pointed out by the referee that a result similar to Lemma 2 appeared in [2].

Corollary 2. Let G, A_G be as above, and let C be of cardinality at least $2e$. Let \bar{c} be an element chosen at random from C^e . Then $\text{rank}(A_G(\bar{c})) = r$ with probability $\geq 1/2$.

Proof. Let $|C| = m$. Then by Claim 2 and Lemma 2 above, $\text{rank}(A_G(\bar{c})) = r$ for at least $(m-1)^e$ elements in C^e . Assuming that each \bar{c} has the same probability of being chosen, we get that this probability is not smaller than

$$\frac{(m-1)^e}{m^e} = \left(1 - \frac{1}{m}\right)^e \geq \left(1 - \frac{1}{2e}\right)^e \geq 1/2.$$

The probabilistic algorithm for maximal bipartite matching is given below. F is a field containing at least $2e$ elements, and $C \subseteq F, |C| = 2e$.

Step 1. Generate a random \bar{c} in C^e .

Step 2. Find a maximal nonsingular square submatrix in $A_G(\bar{c})$. Denote this submatrix by \hat{A} .

Step 3. The dimension and the set of rows and columns of \hat{A} correspond to $\text{Card}(G)$ and $\text{Nodes}(G)$, respectively. Stop.

The probability that the output matching is maximum $\geq 1/2$. This follows from the fact that $\text{rank}(A_G(\bar{c})) = \text{rank}(A_G)$ with probability $\geq 1/2$, and that the cardinality of the matching is equal to $\text{rank}(A_G(\bar{c}))$.

By running the algorithm above k times we have

Theorem 3. There is a probabilistic algorithm which

finds for a bipartite graph, $G = (S, T, E)$, $\text{Card}(G)$ and $\text{Nodes}(G)$ with probability $\geq (1 - 1/2^k)$ in time $O(ks^{\beta-1}t)$, where $s = |S|$ and $t = |T|$.

Remark. The bitwise complexity of the algorithm above (i.e., the number of bit operations required to execute it – see [1]) may depend on the field F : Step 2 of the algorithm may require inversion of order s matrices [4]. Hence, if we take F to be the field of rational numbers (with $C = \{1, 2, \dots, 2e\}$, say), then numbers whose representations are as large as slope may occur during the computation. Perhaps a better idea is to take $F = \text{GF}(p)$ for some prime $p \geq 2e$. To do this we first generate random numbers between $2e$ and $2e^2$ and test them for primality by a probabilistic algorithm (see, e.g., [6]), until a prime p is found. This requires time which is polynomial in $\log e$. The computation is then carried out modulo p . The bitwise complexity of multiplication (mod p) is at most $O(\log^3 p)$. Finding $a^{-1} \pmod{p}$ can be carried out by applying a gcd algorithm which finds integers x and y such that $ax + py = 1$. $a^{-1} \pmod{p}$ is equal to $x \pmod{p}$. Using Euclid's algorithm for gcd requires $O(\log^3 p)$ bit operations, which gives an $O(n^{\beta} \log^3 n)$ time bound for the probabilistic algorithm. This bound can be improved slightly by using fast algorithms for integer arithmetic (see e.g. [1], sects. 7, 8).

Acknowledgement

We would like to thank the referee for suggestions which improve the presentation of our results.

References

- [1] A.V. Aho, J.E. Hopcroft and J.D. Ullman, *The Design and Analysis of Computer Algorithms* (Addison-Wesley, Reading, MA, 1974).
- [2] M. Blum, A.K. Chandra and M.N. Wegman, Equivalence of free Boolean graphs can be decided probabilistically in polynomial time, *Information Processing Lett.* 10 (2) (1980) 80–82.
- [3] J.E. Hopcroft and R.M. Karp, An $n^{5/2}$ algorithm for maximum matchings in bipartite graphs, *SICOMP* 2 (4) (1973) 225–231.
- [4] O.H. Ibarra and S. Moran, A generalized fast matrix decomposition algorithm and applications, University of Minnesota, Dept. of Computer Science, Tech. Rep. No. 80-20 (1980).
- [5] V. Pan, New combinations of methods for the acceleration of matrix multiplication, unpublished manuscript.
- [6] M.O. Rabin, Probabilistic algorithms, in: J. Traub, Ed., *Algorithms and Complexity: New Directions and Recent Results* (Academic Press, New York, 1976) pp. 21–39.
- [7] F. Romani, Shortest path problem is not harder than matrix multiplication, *Information Processing Lett.* 11 (3) (1980) 134–136.
- [8] G. Yuval, An algorithm for finding all shortest paths using $N^{2.81}$ infinite precision multiplications, *Information Processing Lett.* 4 (6) (1976) 155–156.