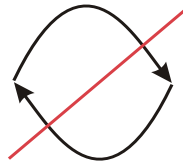


## Antisymmetric Relations

- **Definition** A relation  $R$  on  $A$  is said to be *antisymmetric* if

$$(\forall a, b \in A)(a R b \wedge b R a \rightarrow a = b).$$

- The picture for this is:



Except For



- **Example** The  $\leq$  relation on  $\mathbb{R}$ : if  $a \leq b$  and  $b \leq a$  then  $a = b$ .
- **Example** The subset relation  $\subseteq$  on  $\mathcal{P}(X)$ : if  $A \subseteq B$  and  $B \subseteq A$  then  $A = B$ .

## Operations on Relations

- Because relations are sets of ordered pairs, we can combine them using set operations of union, intersection, and complement. These are called the **Boolean operations** on relations.

- **Example** Let  $A = \{a, b, c\}$ ;  $R = \{(a, b), (a, c)\}$ , and  $S = \{(c, a)\}$ . Then  $R \cup S = \{(a, b), (a, c), (c, a)\}$ ;  $R \cap S = \emptyset$ , and

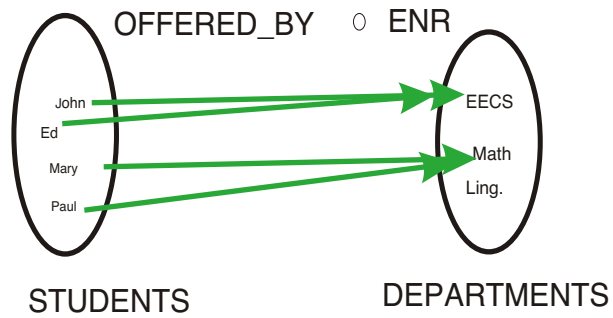
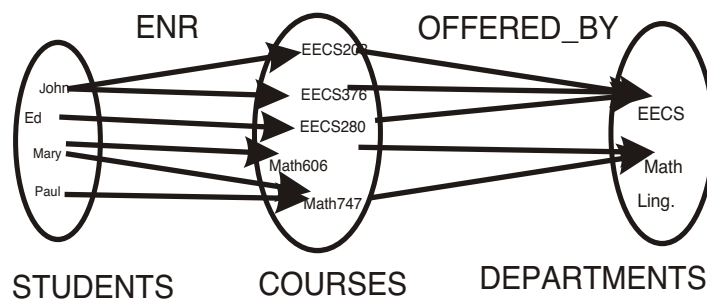
$$\overline{R} = (A \times B) \setminus R = \{(a, a), (b, a), (b, b), (b, c), (c, a), (c, b), (c, c)\}.$$

- **Example** Let  $A$  be the set of people. Let  $B =$  “brother-of” and  $S =$  “sister-of”. Then  $B \cup S =$  “sibling-of”, and  $B \cap S = \emptyset$ .

## Composing relations

- Because relations are generalizations of functions, it makes sense to ask if we can compose them like functions.
- Consider the “enrolled-in” relation and the “offered-by” relation. The first one is between students and courses, and the second is between courses and departments.
- We can compose the two relations to find out which students participate in which departments.
- Let  $E$  stand for the “enrolled-in” relation, and  $O$  be the “offered-by” relation. We picture on the next slide the composition  $O \circ E$ .
- Even though  $E$  is the “first” relation, we respect the conventions for functional composition. (Recall that  $F \circ G(x) = F(G(x))$ .)

## Participation in Departments



## Defining relational composition

- **Definition** *Let  $R$  be a relation between  $A$  and  $B$ , and  $S$  be a relation between  $B$  and  $C$ . In this case the composition  $S \circ R$  can be defined, and is given by the following:*

$$S \circ R = \{(a, c) \in A \times C \mid (\exists b)((a, b) \in R \text{ and } (b, c) \in S)\}.$$

- This definition says that in order to relate  $a$  to  $c$  all the way across from  $A$  to  $C$ , there has to exist a “bridge element”  $b$  in the set  $B$ .
- This suggests that there is some connection between the operation of relational composition and the concept of transitivity.

## Relational Composition related to Transitivity

- If  $R$  is a relation on a set  $A$  (a subset of  $A \times A$ ) then we can always compose  $R$  with itself. In this case

$$R \circ R = \{(a, c) \mid (\exists b)((a, b) \in R \text{ and } (b, c) \in R)\}.$$

- Recall that  $R$  is transitive iff for all  $a, b, c$ , if  $(a, b) \in R$  and  $(b, c) \in R$ , then  $(a, c) \in R$ .
- **Theorem** *A relation  $R$  on  $A$  is transitive if and only if  $R \circ R \subseteq R$ .*

The proof is in two parts.

(i) Assume that  $R$  is transitive. Let  $(a, c) \in R \circ R$ . We show  $(a, c) \in R$ . Because  $(a, c) \in R \circ R$ , there is a  $b$  so that  $(a, b) \in R$  and  $(b, c) \in R$ . By transitivity of  $R$ ,  $(a, c) \in R$ .

(ii) Conversely, assume  $R \circ R \subseteq R$ . We must show that  $R$  is transitive. Applying the definition of transitivity, let  $(a, b) \in R$  and  $(b, c) \in R$ . Then  $(a, c) \in R \circ R$ . Since  $R \circ R \subseteq R$ , we get  $(a, c) \in R$ , as we wanted. (QED)

## Relational Composition and Boolean Matrix Multiplication

- If you use the Boolean matrix representation of relations on a finite set, you can calculate relational composition using an operation called **matrix multiplication**. See Chapter 2 for some background.
- Let  $R$  be a relation on a finite set  $A$  with  $n$  elements. The Boolean matrix of  $R$  will be denoted  $[R]$  and is an  $n \times n$  array  $[R](i, j)$ , where  $(i, j) \in A \times A$ , and

$$[R](i, j) = \begin{cases} 1 & \text{if } (i, j) \in R, \\ 0 & \text{otherwise.} \end{cases}$$

- **Example** Let  $A = \{1, 2, 3\}$  and let  $R = \{(1, 2), (2, 3), (3, 2), (3, 3)\}$ . Then

$$[R] = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix}.$$

## Example continued

- Further, let  $S = \{(1, 3), (3, 1)\}$ , so that the matrix of  $S$  is

$$[S] = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}.$$

- We get the matrix for  $S \circ R$  by taking the **matrix product**  $[R] * [S]$ . This is given by the formula

$$([R] * [S])(i, k) = \bigvee_{j=1}^n ([R](i, j) \wedge [S](j, k)).$$

- Note the similarity to the relational composition definition

$$(i, k) \in S \circ R \leftrightarrow (\exists j, 1 \leq j \leq n)((i, j) \in R \wedge (j, k) \in S).$$



## Example concluded

- Recall  $[R] = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix}$  and  $[S] = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}$ .
- For example, to get the (1,3) entry of  $[R] * [S]$  we take row 1 of  $[R]$ , which is  $(0, 1, 0)$ , and column 3 of  $S$ , which is  $\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$ , and form

$$(0 \wedge 1) \vee (1 \wedge 0) \vee (0 \wedge 0) = 0,$$

using the truth tables for  $\wedge$  and  $\vee$ .

- You have to repeat this “row  $i$  by column  $k$ ” for each entry  $(i, k)$  of the product.

## Closures of relations

- Sometimes you have a relation which isn't reflexive, or isn't symmetric, or isn't transitive.
- For each of these properties, we can add ordered pairs to the relation, just enough to make it have the given property. The resulting relation is called the **reflexive closure**, **symmetric closure**, or **transitive closure** respectively.
- Another way to say this is that for property  $X$ , the  $X$  closure of a relation  $R$  is the smallest relation containing  $R$  that has property  $X$ , where  $X$  can be “reflexive” or “symmetric” or “transitive”.
- We denote the reflexive closure of  $R$  by  $refc(R)$ , the symmetric closure of  $R$  by  $symc(R)$ , and the transitive closure by  $tc(R)$ . Another popular notation, though, for the last is  $R^+$ .

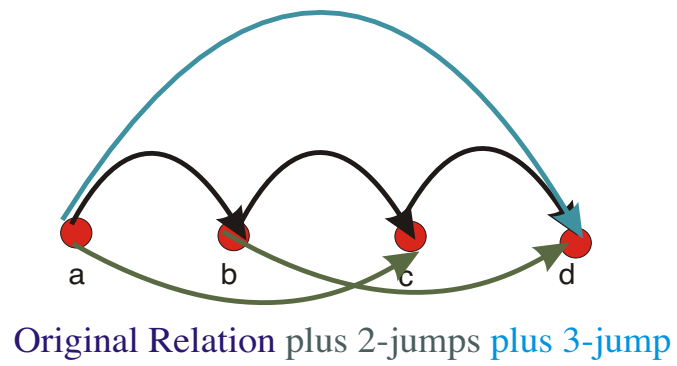
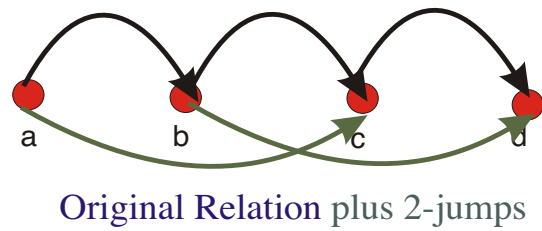
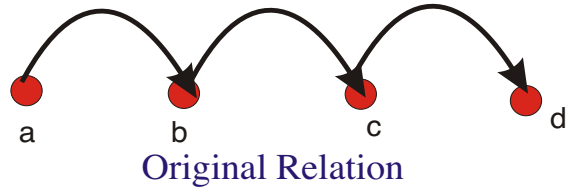
## Reflexive and Symmetric Closures

- These are easy, and also are not used a lot.
- **Definition** The *reflexive closure* of a relation  $R$  on a set  $A$  is defined to be  $\text{refc}(R) = R \cup \text{id}_A$ .
- **Example** If  $R = \{(1, 2), (2, 3), (3, 2), (3, 3)\}$ , then  $\text{refc}(R) = \{(1, 2), (2, 3), (3, 2), (3, 3), (1, 1), (2, 2)\}$ .
- **Definition** The *symmetric closure* of a relation  $R$  on a set  $A$  is defined to be  $\text{symc}(R) = R \cup \check{R}$ , where  $\check{R} = \{(y, x) \mid (x, y) \in R\}$ .
- **Example** If  $R = \{(1, 2), (2, 3), (3, 2), (3, 3)\}$ , then  $\text{symc}(R) = \{(1, 2), (2, 1), (2, 3), (3, 2), (3, 3)\}$ .

## Transitive Closure

- This is more interesting; adding ordered pairs is an iterative process
- Transitive closure on directed graphs shows where you can go using **some number** of arcs.
- To get the transitive closure, you first add all arrows that traverse (jump) two original arrows; then those that traverse three, and so forth.
- We illustrate on the next slide.

## Graphical construction of transitive closure



## Recursive Definition of Transitive Closure

- Given a binary relation  $R$  on a set  $A$ , we use the following rules to construct the relation  $tc(R)$ .
  1. “Basis”: if  $(x, y) \in R$ , then  $(x, y) \in tc(R)$ .
  2. “Induction”: if  $(x, y) \in tc(R)$  and  $(y, z) \in tc(R)$ , then  $(x, z) \in tc(R)$ .
  3. No pair is in  $tc(R)$  unless it is shown there using a finite number of applications of rules 1 and 2.
- **Example** *Using these rules on the example on the last slide, we first use rule 1 three times to put  $(a, b)$ ,  $(b, c)$ , and  $(c, d)$  into  $tc(R)$ .*
- *We add the “two-jumps” with two uses of rule 2: once from  $(a, b)$  and  $(b, c)$  to get  $(a, c)$ , and then from  $(b, c)$  and  $(c, d)$  to get  $(b, d)$ .*
- *We then use rule 2 from  $(a, c)$  and  $(c, d)$  to get  $(a, d)$ , the three-jump.*
- We need rule 3 to insure that  $tc(R)$  is the smallest transitive relation containing  $R$ . For example,  $S = A \times A$  is a much bigger transitive relation containing  $R$ .

## Proving that $tc(R)$ is what's advertised

- We have to show (i) that  $tc(R)$  is transitive, and (ii) that if  $R \subseteq S$  and  $S$  is transitive then  $tc(R) \subseteq S$ .
- Proving (i) is easy. If  $(x, y)$  and  $(y, z)$  are in  $tc(R)$  then they got there by some finite number of rule applications. Just one more application of rule 2 puts  $(x, z)$  into  $tc(R)$ .
- The proof of (ii) is a little harder. Let  $(x, z) \in tc(R)$ . We use induction on the number  $n$  of rule applications necessary to get  $(x, z) \in tc(R)$ , to show that  $(x, z) \in S$ . The proof continues on the next slide.

## Proof continued

**Basis:**  $n = 1$ . Then we used rule 1, which says  $(x, z) \in R$ . Since  $R \subseteq S$  we have  $(x, z) \in S$ , completing the basis case.

**Induction step.** Assume that whenever  $(u, v)$  can be put into  $tc(R)$  by using  $k$  or fewer rule applications, then  $(u, v) \in S$ . Suppose we can put  $(x, z)$  into  $tc(R)$  using  $k + 1$  rule applications. The last rule we use is (without loss) rule 2, so we had an  $(x, y) \in tc(R)$  using  $k$  or fewer applications, and also a  $(y, z)$  in  $tc(R)$  using  $k$  or fewer applications. By inductive hypothesis (twice)  $(x, y) \in S$  and  $(y, z) \in S$ . But  $S$  is transitive, so  $(x, z) \in S$ , as we wanted. (QED)



## Characterizing $tc(R)$ other ways

- Since transitivity is connected to composition, it makes sense to see if there's a way to express  $tc(R)$  using composition.
- There is a formula to that effect, which leads to a matrix algorithm for calculating transitive closure.
- The formula requires defining **powers of a relation** inductively.
- **Definition** *Let  $R$  be a binary relation on  $A$ . For  $j \geq 1$  we define the powers  $R^j$  of  $R$ : put  $R^1 = R$  and  $R^{j+1} = R^j \circ R$ .*

- **Theorem**

$$\begin{aligned} tc(R) &= \bigcup_{j=1}^{\infty} R^j \\ &= R^1 \cup R^2 \cup \dots \cup R^j \cup \dots \end{aligned}$$

You can prove by induction on  $n$  that if  $(x, z)$  gets into  $tc(R)$  by  $n$  or fewer rule applications then for some  $p$ ,  $(x, z) \in \bigcup_{j=1}^p R^j$ . Conversely, if  $(x, z)$  is in  $R^n$ , then there is a proof using some finite number of steps showing that  $(x, z) \in tc(R)$ .

## A formula for the transitive closure

- if  $A$  has only  $n$  elements then

$$tc(R) = \bigcup_{j=1}^n R^j.$$

. (This can be proved by induction.)

- Let  $[R]$  be the matrix of  $R$ . Then the matrix of the transitive closure

$$[tc(R)] = \bigvee_{j=1}^n [R]^j.$$

- Here we define, for two square Boolean matrices  $M$  and  $N$ ,

$$(M \vee N)(i, j) = M(i, j) \vee N(i, j),$$

i.e., just the elementwise “or” of the two matrices.

- This can be seen to give an  $O(n^4)$  algorithm to find the transitive closure. With better bookkeeping, one can derive an  $O(n^3)$  algorithm.