#### Some notation

- We use symbols like  $\sqsubseteq$  to denote partial orderings. This is intended to remind us of specific orderings like  $\subseteq$  and  $\leq$ .
- If ⊑ is a partial ordering on the set A, we put together both A and ⊑ into a pair (A, ⊑) and call this a poset. The name is a contraction of "partially ordered set."

## Maximal and Minimal Elements

• Consider the Hasse diagram below.



 $S=\{c,d,h\}; \hspace{1em} A=\{a,b,c,d,e,f,g,h\}$ 

- In the set A, there is a *least element* a.
- There is no greatest element in A, but f and g are what we call *maximal elements*.
- We'll look at least and greatest elements, as well as maximal and minimal elements, with respect to subsets of A, like S in the picture.

## Formal definitions: various notions of "greatest" and "least"

- **Definition** Let  $(A, \sqsubseteq)$  be a poset and  $S \subseteq A$ . An element  $m \in S$  is the greatest element of S if  $(\forall s \in S)(s \sqsubseteq m)$ . An element  $m \in S$  is the least element of S if  $(\forall s \in S)(m \sqsubseteq s)$ .
- In the example, *a* is the least element of *A* and *h* is the least element of *S*. *S* has no greatest element, and neither does *A*.
- Definition An element m ∈ S is a maximal element of S if there is no other element s ∈ S with m ⊑ s. An element m ∈ S is a minimal element of S if there is no other element s ∈ S with s ⊑ m.
- In the example, f and g are maximal elements of A, and c and d are maximal elements of S.

#### Upper and Lower Bounds

- Given a poset  $(A, \sqsubseteq)$  and a subset S of A, we want to look at the elements of A which are "above" and "below" all elements of S.
- **Definition** We define the set ub(S) of upper bounds of S as  $\{x \in A \mid (\forall s \in S)(s \sqsubseteq x)\}$ . The set lb(S) of lower bounds of S is  $\{x \in A \mid (\forall s \in S)(x \sqsubseteq s)\}$ .
- In the picture, ub(S) and lb(S) are shown.



 $S = \{c,d,h\}; A = \{a,b,c,d,e,f,g,h\}$  $\bigcirc = ub(S) = \{e,g\}$  $\bigcirc = lb(S) = \{a,h\}$ 

# Least Upper and Greatest Lower Bounds

- **Definition** If the subset ub(S) has itself a least element, this is called the least upper bound of S. Furthermore, if the set lb(S) has a greatest element, this is called the greatest lower bound of S.
- In the picture, S has a least upper bound e and a greatest lower bound h. Note that  $e \notin S$ .
- A subset may fail to have a least upper bound or greatest lower bound. For example,  $\{f, g\}$  has a greatest lower bound c but no least upper bound.
- We'll be interested in posets where all two-element subsets have a least upper bound and a greatest lower bound. These are called lattices.

## Lattices

- Definition A poset (A, ⊑) is called a lattice if any two element subset {x, y} of A has a least upper bound and a greatest lower bound. These are denoted x ⊔ y and x ⊓ y respectively.
- Our previous example is not a lattice because  $\{f, g\}$  has no least upper bound. But if we remove the element f and the arrow to it, then we do get a lattice.
- Other examples:
  - 1.  $(\mathcal{P}(Z), \subseteq)$ . Here the least upper bound of X and Y is  $X \cup Y$  and the greatest lower bound is  $X \cap Y$ .
  - 2. The set of divisors of 30 with the divisibility ordering | is a lattice, where  $x \sqcup y$  is the least common multiple of x and y, and  $x \sqcap y$  is the greatest common divisor of x and y.
  - 3. The set  $\mathbb{N}^+$  of all positive integers is likewise a lattice under the divisibility ordering.

## A non-lattice



This is not a lattice, because the set  $\{b, c\}$  has no least upper bound. (It does have two minimal upper bounds d and e.)

# The divisibility lattice $(\mathbb{N}^+, |)$

- Finding the greatest common divisor and least common multiple of two integers can be done by factoring each number into primes.
- Example:

$$12 = 2^{2} \cdot 3 = 2^{2} \cdot 3^{1} \cdot 5^{0} \cdot 7^{0} \dots$$
  
$$45 = 3^{2} \cdot 5 = 2^{0} \cdot 3^{2} \cdot 5^{1} \cdot 7^{0} \dots$$

• We get the greatest common divisor (gcd) by choosing the minimum exponents:

$$2^{\mathbf{0}} \cdot 3^{\mathbf{1}} \cdot 5^{\mathbf{0}} \dots = 3$$

• We get the least common multiple (lcm) by choosing the maximum exponents:

$$2^2 \cdot 3^2 \cdot 5^1 \cdot 7^0 \dots = 180.$$

#### **Unique Factorization**

• Theorem (Fundamental Theorem of Arithmetic.) Every positive integer m has a unique factorization into primes of the form

$$m = p_1^{j_1} \cdot p_2^{j_2} \dots$$

where  $p_1 = 2, p_2 = 3, ...$  are the prime numbers in order, and  $j_i$  is the number of times the prime  $p_i$  divides m.

(We called  $j_i$  the  $p_i$ -level of m in an earlier exercise.)

- Example:  $45 = 2^0 \cdot 3^2 \cdot 5^1 \cdot 7^0 \cdot 11^0 \dots$
- This gives rise to a function

$$f(m,i) = p_i$$
-level of  $m$ .

• Example: f(45, 1) = 0; f(45, 2) = 2; f(45, 3) = 1, and f(45, i) = 0 for all  $i \ge 4$ . General perspective on gcd and lcm

# • Proposition

$$m \mid n \iff (\forall i)(f(m,i) \leq f(n,i)).$$

• From this, it follows that

$$f(lcm(m,n),i) = \max\{f(m,i), f(n,i)\}$$

and

$$f(gcd(m,n),i) = \min\{f(m,i), f(n,i)\}.$$

- $\bullet$  It also follows that  $(\mathbb{N}^+,|)$  is a lattice.
- Even more! Notice that  $i+j = \max\{i, j\} + \min\{i, j\}$ . Therefore

$$\begin{split} f(mn,i) &= f(m,i) + f(n,i) \\ &= \max\{f(m,i), f(n,i)\} + \min\{f(m,i), f(n,i)\} \\ &= f((lcm(m,n),i) + f(gcd(m,n),i) \\ &= f(lcm(m,n) \cdot gcd(m,n)), i) \end{split}$$

and so

$$mn = lcm(m,n) \cdot gcd(m,n)$$