

**CHECKERBOARD NIGHTMARE** by Kristofer Straub

**Dependant Type Systems**  
(saying what you are)

**Data Abstraction**  
(hiding what you are)

Spammers are people too.  
Don't filter.

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## Recount ...

- We're now reaching the point where you have all of the tools and background to understand advanced topics.
- Already Scheduled on Upcoming Days:
  - Weimeric Research (Java, CCured), SLAM
- Open Slots: Tue Apr 04, Thu Apr 06, (Tue Apr 18)
- Possible Topics: **Let's Vote!**
  - Object Calculi (OOP)
  - Communication and Concurrency (Pi)
  - Types and Effects for Memory Management (Regions)
  - Java Virtual Machine
  - Automated Theorem Proving (Simplify, PVS)
  - More Time on SLAM, Explain Model Checking
  - Topic Of Your Choice ...

## Review

- We studied a variety of type systems
- We repeatedly made the type system **more expressive** to enable the type checker to catch more errors
- But we have steered clear of **undecidable** systems
  - Thus there must still be **many errors that are not caught**
- Now we explore more **complex type systems** that bring type checking closer to **program verification**

## Dependent Types

- Say that we have the functions
  - `zero : nat → vector` (creates vector of requested length)
  - `dotprod : vector → vector → real` (dot product)
- The types do not prevent using `dotprod` on **vectors of different length**
  - If they could, maybe we could catch more bugs through type checking!
- Idea: Make "vector" a type family annotated by a natural number
  - "vector n" is the type of vectors of length n
  - `dotprod : vector n → vector n → real` (where is n bound?)
  - `zero : nat → vector ?` (need a way to refer to the **value** of the first argument in the type!)

## Dependent Type Notation

- How to write the type of `zero : nat → vector ?`
- Given two sets A and B verify the isomorphism
 
$$A \rightarrow B \simeq \prod_{x \in A} B$$
  - The latter is the cartesian product of B with itself as many times as there are elements in A
  - Also written as  $\prod x:A. B$  (x here plays no role)
  - But now we can make B depend on x!
- Definition:**  $\prod x:A. B$  is the type of functions with argument in A and with the result type B (possibly depending on the value of the argument x)
  - We write "**zero :  $\prod n:\text{nat}. \text{vector } n$** "
  - Special case when  $x \notin B$  we abbreviate as  $A \rightarrow B$
  - We play "fast and loose" with the binding of  $\prod$

## Dependent Typing Rules

$$\frac{\Gamma, x : \tau_2 \vdash e : \tau \quad \Gamma \vdash e_1 : \prod x : \tau_2. \tau \quad \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash \lambda x : \tau_2. e : \prod x : \tau_2. \tau} \quad \Gamma \vdash e_1 e_2 : [e_2/x]\tau$$

- Note that **expressions are now part of types**
- Have types like "vector 5" and "vector (2 + 3)"
- We need **type equivalence**

$$\frac{\Gamma \vdash e : \tau \quad \Gamma \vdash \tau \equiv \tau'}{\Gamma \vdash e : \tau'}$$

$$\frac{\Gamma \vdash e_1 \equiv e_2}{\Gamma \vdash \text{vector } e_1 \equiv \text{vector } e_2}$$

## Dependent Types and Program Specifications

- Types act as specifications
- With dependent types we can specify *any property!*
- For example, define the following types:
  - “eq e” - the type of values equal to “e”.  
Also named “sng e” (the *singleton type*)
  - “ge e” - the type of values larger or equal to “e”
  - “lt e” - the type of values smaller than “e”
  - “and  $\tau_1 \tau_2$ ” - the type of values having both type  $\tau_1$  and  $\tau_2$
- Need appropriate typing rules for the new types
- The precondition for vector-accessing (cf. HW5)
  - read:  $\Pi n:\text{nat}.\text{vector } n \rightarrow (\text{and } (\text{ge } 0) (\text{lt } n)) \rightarrow \text{int}$
- The **type checker must do program verification**

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## Dependent Type Commentary

- Type checking with  $\Pi$  types can be *as hard as full program verification*
- Type equivalence can be *undecidable*
  - If types are dependent on expressions drawn from a powerful language (“powerful” = “arithmetic”)
  - Then even *type checking will be undecidable*
- Dependent types play an important role in the **formalization of logics**
  - Started with Per Martin-Lof
  - **Proof checking via type checking**
  - Proof-carrying code uses a dependent type checker to check proofs
  - There are program specification tools based on  $\Pi$  types

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## Dependent Sum Types

- We want to pack a **vector** with **its length**
  - $e = (n, v)$  where “ $v$ : vector  $n$ ”
  - The type of an element of a pair depends on the *value* of another element
  - This is another form of dependency
  - The type of  $e$  is “ $\text{nat} \times \text{vector} ?$ ”
- Given two sets A and B verify the isomorphism
 
$$A \times B \simeq \sum_{x \in A} B$$
  - The latter is the *disjoint union* of B with itself as many times as there are elements in A
  - Also written as  $\Sigma x:A.B$  ( $x$  here plays no role)
  - But now we can make B depend on  $x!$

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## Dependent Sum Types

- **Definition:**  $\Sigma x:A.B$  is the type of pairs with first element of type A and second element of type B (*possibly depending on the value of first element  $x$* )
  - Now we can write  $e : \Sigma x:\text{nat}.\text{vector } x$
- Functions that compute the length of a vector
  - $\text{vlength} : \Pi n:\text{nat}.\text{vector } n \rightarrow \text{nat}$ 
    - (the result is not constrained)
  - $\text{length} : \Pi n:\text{nat}.\text{vector } n \rightarrow \text{sng } n$ 
    - “sng  $n$ ” is a dependent type that contains only  $n$
    - called the *singleton type* (recall from 3 slides ago ...)
- What if the vector is packed with its length?
  - $\text{pvlength} : \Sigma n:\text{nat}.\text{vector } n \rightarrow \text{nat}$
  - $\text{pslength} : \Sigma n:\text{nat}.\text{vector } n \rightarrow \text{sng } n$

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## Dependent Sum Types Static Semantics

$$\frac{\Gamma \vdash e_1 : \tau_1 \quad \Gamma \vdash e_2 : [e_1/x]\tau_2}{\Gamma \vdash (e_1, e_2) : \sum x : \tau_1.\tau_2}$$

$$\frac{\Gamma \vdash e : \sum x : \tau_1.\tau_2}{\Gamma \vdash \text{snd } e : [\text{fst } e/x]\tau_2}$$

- Note how this rule **reduces to the usual rules** for tuples when there is no dependency
- The evaluation rules are **unchanged**

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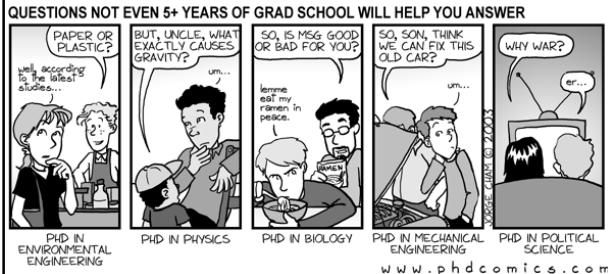
## Weimeric Commentary

- Dependant types seem obscure: why care?
- Grand Unified Theory
  - Type Checking = Verification (= Model Checking = Proof Checking = Abstract Interpretation ...)
- CCured Project
  - Rumor has it this project was successful
  - The whole thing is dependant sum types
    - SEQ = (pointer + lower bound + upper bound)
    - FSEQ = (pointer + upper bound)
    - WILD = (pointer + lower bound + upper bound + rtti)

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## Types for Data Abstraction

What's in the implementation?  
We don't know!



## Data Abstraction

- Ability to **hide (abstract) concrete implementation details**
- **Modularity** builds on data abstraction
- Improves program structure and minimizes dependencies
- One of the most influential developments of the 1970's
- Key element for much of the success of object orientation in the 1980's

## Example of Abstraction

- Cartesian points (gotta love it!)
- Introduce the “**abstype**” language construct:
  - abstype** point **implements**
    - mk : real × real → point
    - xc : point → real
    - yc : point → real
  - is**
    - < point = real × real,
    - mk = λx. x,
    - xc = fst,
    - yc = snd >
  - Shows a concrete implementation
  - Allows the rest of the program to access the implementation **through an abstract interface**
  - Only the interface need to be publicized
  - Allows **separate compilation**

## Data Abstraction

- It is useful to **separate the creation of the abstract type and its use** (newsflash ...)
- Extend the syntax:
  - Terms ::= ... | < t = τ, e : σ > | open e<sub>a</sub> as t, x : σ in e<sub>b</sub>
  - Types ::= ... | ∃t. σ
- The expression <t=τ, e : σ> takes the concrete implementation e and “**packs it**” as a value of an abstract type
  - Alternative notation: “pack e as ∃t. σ with t = τ”
  - Called “**existential types**” - used in TAL to model the stack, etc.
- The “**open**” expression allows e<sub>b</sub> to access the abstract type expression e<sub>a</sub> using the name x, the unknown type of the concrete implementation “t” and the **interface σ**

## Example with Abstraction

- C = {mk = λx.x, xc = fst, yc = snd} is a **concrete implementation** of points as **real × real**
- We want to hide the type of the representation σ is the following type:
  - { mk : real × real → point,
  - xc : point → real, yc : point → real }
- Note that C : [real×real/point]σ
- A = <point=real×real, C : σ> is an expression of the abstract type **∃point.σ**
- We want clients to access only the second component of A and just use the abstract name “point” for the first component:
  - open A as point, P : σ in ... P.xc(P.mk(1.0, 2.0)) ...**

## Typing Rules for Existential Types

- We add the following typing rules:

$$\Gamma \vdash [\tau/t]e : [\tau/t]\sigma$$

$$\Gamma \vdash \langle t = \tau, e : \sigma \rangle : \exists t. \sigma$$

$$\Gamma \vdash e_a : \exists t. \sigma \quad \Gamma, t, p : \sigma \vdash e_b : \tau \quad t \notin FV(\Gamma \cup \tau)$$

$$\Gamma \vdash \text{open } e_a \text{ as } t, p : \sigma \text{ in } e_b : \tau$$

- The restriction in the rule for “open” ensures that **t does not escape its scope**

## Evaluation Rules for Abstract Types

- We add a new form of value
  - $v ::= \dots \mid \langle t = \tau, v : \sigma \rangle$
  - This is **just like**  $v$  but with some type decorations that make it have an existential type
$$\frac{e_a \Downarrow \langle t = \tau, v : \sigma \rangle \quad [v/x][\tau/t]e_b \Downarrow v'}{\text{open } e_a \text{ as } t, x : \sigma \text{ in } e_b \Downarrow v'}$$
- At the time  $e_b$  is evaluated, abstract-type variables are replaced with concrete values
  - If we ignore the type issues “open  $e_a$  as  $t, x : \sigma$  in  $e_b$ ” is like “let  $x : \sigma = e_a$  in  $e_b$ ”
  - Difference:  $e_b$  *cannot know statically* what is the **concrete type of  $x$**  so it **cannot take advantage of it**

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## Abstract Types as a Specification Mechanism

- Just like polymorphism, **existential types are mostly a type checking mechanism**
- A function of type  $\forall t. t \text{ List} \rightarrow \text{int}$  does not know **statically** what is the type of the list elements. Therefore no operations are allowed on them
  - But it will have at run-time the actual value of  $t$ 
    - “There are no type variables at run-time”
- Same goes for existentials
- These type mechanisms are a very powerful (and widely used!) **form of static checking**
  - Recall Wadler’s “Theorems for Free”

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## Data Abstraction and the Real World

- Example: **file descriptors**
- Solution 1:
  - Represent file descriptors as “int” and export the interface  $\{\text{open} : \text{string} \rightarrow \text{int}, \text{read} : \text{int} \rightarrow \text{data}\}$
- An untrusted client of the interface calls “read”
- How can we know that “read” is invoked with a file descriptor that was obtained from “open”?

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## Data Abstraction and the Real World

- Example: **file descriptors**
- Solution 1:
  - Represent file descriptors as “int” and export the interface  $\{\text{open} : \text{string} \rightarrow \text{int}, \text{read} : \text{int} \rightarrow \text{data}\}$
- An untrusted client of the interface calls “read”
- How can we know that “read” is invoked with a file descriptor that was obtained from “open”?
  - We must **keep track of all integers that represent file descriptors**
  - We design the interface such that all such integers are small integers and we can essentially keep a bitmap
  - This becomes expensive with more complex (e.g. pointer-based) representations

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## Data Abstraction, Static Checking

- Solution 2: Use the same representation but **export an abstraction** of it.
  - $\exists \text{fd}. \text{File}$  or
  - $\exists \text{fd}. \{\text{open} : \text{string} \rightarrow \text{fd}, \text{read} : \text{fd} \rightarrow \text{data}\}$
  - A possible value:
    - $\text{Fd} = \langle \text{fd} = \text{int}, \{\text{open} = \dots, \text{read} = \dots\} : \text{File} \rangle : \exists \text{fd}. \text{File}$
- Now the untrusted client
  - $\text{open Fd as fd, x : File in e}$
- At run-time “e” can see that file descriptors are integers
  - But cannot cast 187 as a file descriptor.
  - Static checking with no run-time costs!
  - Catch: you must be able to type check e!

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## Modularity

- A **module** is a **program fragment along with visibility constraints**
- Visibility** of functions and data
  - Specify the function interface but hide its implementation
- Visibility** of type definitions
  - More complicated because the type might appear in specifications of the visible functions and data
  - Can use data abstraction to handle this
- A module is represented as a **type component** and an **implementation component**
  - $\langle t = \tau, e : \sigma \rangle$  (where  $t$  can occur in  $e$  and  $\sigma$ )
  - even though the specification ( $\sigma$ ) refers to the implementation type we can still hide the latter

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## Problems with Existentialists

- Existentialist types
  - Assert that **truth is subjectivity**
  - **Oppose the rational tradition** and positivism
  - Are subject to an “**absurd**” universe
- Problems:
  - “In so far as Existentialism is a philosophical doctrine, it remains an idealistic doctrine: it hypothesizes specific historical conditions of human existence into ontological and metaphysical characteristics. **Existentialism thus becomes part of the very ideology which it attacks**, and its radicalism is illusory.” (Herbert Marcuse, “Sartre’s Existentialism”, p. 161)

#25

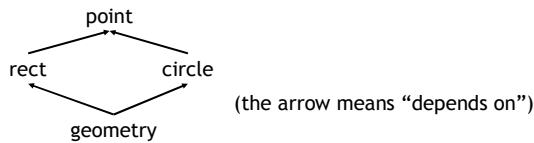
## Problems with Existentials

- Existential types
  - Allow **representation (type) hiding**
  - Allow **separate compilation**. Need to know only the type of a module to compile its client
  - **First-class modules**. They can be selected at run-time. (cf. OO interface subtyping)
- Problems:
  - **Closed scope**. Must open an existential before using it!
  - Poor support for **module hierarchies**

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## Problems with Existentials (Cont.)

- There is an inherent tension between **handling modules in isolation** (good for **separate compilation**, interchangeability) and the need to **integrate them**



- Solution 1: **open “point” at top level**
  - Inversion of program structure
  - The most basic construct has the widest scope

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## Give Up Abstraction?

- Solution 2: **incorporate point in rect and circle**
  - R = < point = ..., <rect = point × point, ...> ... >
  - C = < point = ..., <circle = point × real, ...> ... >
- When we open R and C we get **two distinct notions of point!**
  - And we will **not be able to combine them**
- Another option is to allow the type checker to see the representation type
  - and thus give up representation hiding

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## Strong Sums

- New way to open a package
  - Terms  $e ::= \dots \mid \text{Ops}(e)$
  - Types  $\tau ::= \dots \mid \Sigma t. \tau \mid \text{Typ}(e)$
  - Use **Typ and Ops to decompose the module**
  - Operationally, they are just like “fst” and “snd”
  - $\Sigma t. \tau$  is the **dependent sum type**
  - It is like  $\exists t. \tau$  except we can look at the type

$$\frac{\Gamma \vdash e : \Sigma t. \tau}{\Gamma \vdash \text{Ops}(e) : \tau[\text{Typ}(e)/t]}$$

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## Modularity with Strong Sums

- Consider the R and C defined as before:
  - Pt = <point = real × real, ...> :  $\Sigma \text{point}. \tau_p$
  - R = <point = Typ(Pt),  
< rect = point × point, ...> :  $\Sigma \text{rect}. \tau_R$
  - C = <point = Typ(Pt),  
< circle = point × real, ...> :  $\Sigma \text{circle}. \tau_C$
- Since we use strong-sums the **type checker sees that the two point types are the same**

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## Modules with Strong Sums

- ML's module system is based on strong sums
- Problems:
  - **Poor data abstraction**
  - Expressions appear in types ( $\text{Typ}(e)$ )
    - Types might not be known until at run time
    - **Lost separate compilation**
    - Trouble if  $e$  has side-effects (but we can use a value restriction)
  - **Second-class modules** (because of value restriction)
  - We can combine existentials with strong sums
    - Translucent sums: partially visible

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## Homework

- Project Status Update
- I Will Grade HW5 Over The Weekend
- Class Survey #2 --- Turn It In!
- Project Due Tue Apr 25
  - You have ~27 days to complete it.
  - Need help? Stop by my office or send email.

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