

### Problem Set 3: Introduction to Machine Learning

**Posted:** Wednesday, September 23, 2020

**Due:** Wednesday, September 30, 2020

For both Problem 3.1 and 3.2, please submit your solution to [Canvas](#) as a notebook file (.ipynb). Before submitting, please make sure to rename the file to `username_umid.ipynb`.

The starter code can be found at:

<https://colab.research.google.com/drive/10kuw4-vPHAo420FR2PIfZ2-cUjlnhLr?usp=sharing>

We recommend editing and running your code in Google Colab, although you are welcome to use your local machine instead.

#### Problem 3.1 *Nearest Neighbor Classification*

In this problem, we will implement the  $K$ -Nearest Neighbor algorithm to recognize objects in tiny images, using the CIFAR-10 dataset (Figure 1). The code for loading and pre-processing the dataset has been provided for you. Your task is to fill in the missing code.

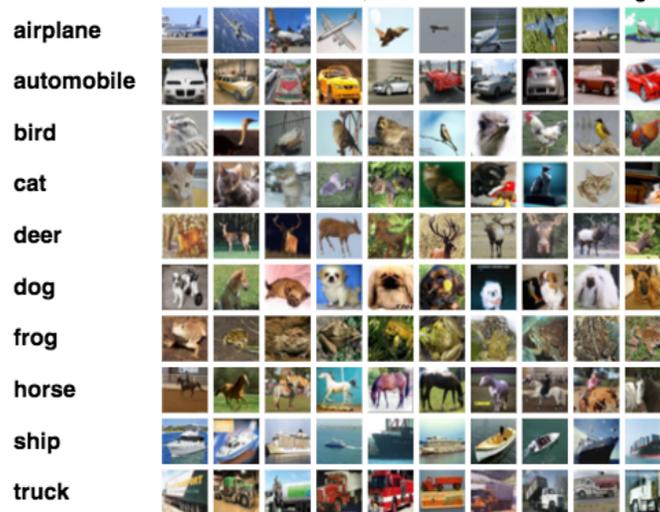


Figure 1: The CIFAR-10 dataset, which we'll be classifying in this problem. [1]

(a) For the class `KNearestNeighbor` defined in the notebook, please finish implementing the following methods:

- i. Please read the header for the method `compute_distance_two_loops` and understand its inputs and outputs. Fill the remainder of the method as indicated in the notebook, to compute the  $l_2$  distance between the images in the test set and the images in the training set **(1 point)**.
- ii. It will be important in subsequent problem sets to write fast *vectorized* code: that is, code that has as few `for` loops as possible. As practice, please complete the methods `compute_distance_one_loops` which computes the  $l_2$  distance only using a single `for` loop (and is thus partially vectorised) and `compute_distance_no_loops` which computes the L2 distance without using any loops and is thus fully vectorised **(1 point)**.
- iii. Similarly, complete the implementation of the method `predict_labels` to find the  $k$  nearest neighbors for each test image.  
**Hint:** It might be helpful to use the function `np.argsort` **(1 point)**.

(b) Run the subsequent cells, so that we can check your implementation above. You will use `KNearestNeighbor` to predict the labels of test images and calculate the accuracy of these predictions. We have implemented the code for  $k = 1$  and  $k = 3$ . For  $k = 1$ , you should expect to see approximately 27% test accuracy. **(0 points)**.

(c) Find the best value for  $k$  using *grid search* on the validation set: for each value of  $k$ , calculate the accuracy on the validation set, then choose the highest one. We have provided the code to print the maximum validation accuracy obtained and the corresponding  $k$  value. Report the best accuracy and the associated value for  $k$  in the provided cell below in the notebook. Also, please run the code that we've provided which uses the best  $k$  to calculate accuracy on the test set, and to see some visualizations of the nearest neighbors! **(1 point)**.

### Problem 3.2 Linear classifier with Multinomial Logistic (Softmax) Loss

In this problem, we will train a linear classifier using the softmax (multinomial logistic) loss (Equation 2) for image classification (Figure 1), using stochastic gradient descent.

(a) **Estimating the loss and gradients.** Complete the implementation of the `softmax_loss_naive` function and its gradients using the formulae we have provided, following its specification. Please note that we are calculating the loss on a *minibatch* of  $N$  images. The inputs are  $(\mathbf{x}_1, y_1), (\mathbf{x}_2, y_2), \dots, (\mathbf{x}_N, y_N)$  where  $\mathbf{x}_i$  represents the  $i$ -th image in the batch, and  $y_i$  is its corresponding label.

We first calculate the *logits* for each object class, i.e. the unnormalized probability that the image is of a particular class. We'll denote the logits **for a single image** as  $\mathbf{s}_1, \mathbf{s}_2, \dots, \mathbf{s}_C$  where  $C$  is the total number of classes, and compute them as,  $\mathbf{s} = Wx_i$ . The softmax loss **for a single image**,  $L_i$  can be defined as,

$$L_i(\mathbf{s}, y) = -\log \frac{e^{s_{y_i}}}{\sum_{j=1}^C e^{s_j}} \quad (1)$$

The total loss  $\mathcal{L}$  for all images in the *minibatch* can then be calculated by averaging the losses of all images in the *minibatch*:

$$\mathcal{L}(W) = \frac{1}{N} \sum_{i=1}^N L_i. \quad (2)$$

**Caution:** When you exponentiate large numbers in your softmax layer, the result could be quite large, resulting in values of `inf`. To avoid these numerical issues, you can first subtract the maximum score from each scores as shown below:

$$L_i = -\log \frac{e^{s_{y_i}} / e^{\max(s_j)}}{\sum_{j=1}^C e^{s_j} / e^{\max(s_j)}} = -\log \frac{e^{s_{y_i} - \max(s_j)}}{\sum_{j=1}^C e^{s_j - \max(s_j)}} \quad (3)$$

**Gradients** We provide the formulae for the gradients,  $\frac{\partial L}{\partial W}$ , which will also be returned by `softmax_loss_naive`:

$$\frac{\partial L_i}{\partial W_{y_i}} = \left( \frac{e^{s_{y_i}}}{\sum_{j=1}^C e^{s_j}} - 1 \right) x_i \quad (4)$$

$$\frac{\partial L_i}{\partial W_j} = \left( \frac{e^{s_j}}{\sum_{j=1}^C e^{s_j}} \right) x_i, \quad j \neq y_i \quad (5)$$

As described in the notebook, after implementing this, please run the indicated cells for loss check and gradient check and make sure you get the expected values **(2 points)**.

(b) For the `LinearClassifier` class defined in the notebook, please complete the implementation of the following:

- i. **Stochastic gradient descent.** Read the header for the method `train` and fill in the portions of the code as indicated, to sample random elements from the training data to form batched inputs and perform parameter update using gradient descent. (Loss and gradient calculation has already been taken care of by us) **(1 point)**.
- ii. **Running the classifier.** Similarly, write the code to implement `predict` method which returns the predicted classes by the linear classifier **(1 point)**.

(c) Please run the rest of the code that we have provided, which uses `LinearClassifier` to train on the training split of the dataset and obtain the accuracies on the training and validation sets. Observe the accuracy on the test set, which should be around 38%. Finally, please refer to the visualizations of the learned classifiers. In these visualizations, we treat the classifier weights as though they were an image, and plot them. You may observe some interesting patterns in the way that each classifier distributes its weight. **(0 points)**.

## Acknowledgement

Part of the homework and the starter code are taken from previous CS231n course at Stanford University by Fei-Fei Li, Justin Johnson and Serena Yeung. Please feel free to similarly re-use our problems while similarly crediting us.